



ITW

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

DIACAKIS et al

Serial No.: 10/802,323

Filed: March 16, 2004

Confirmation No.: 3738

Atty. File No.: 42365-01015

For: "LAWFUL INTERCEPT SYSTEM"

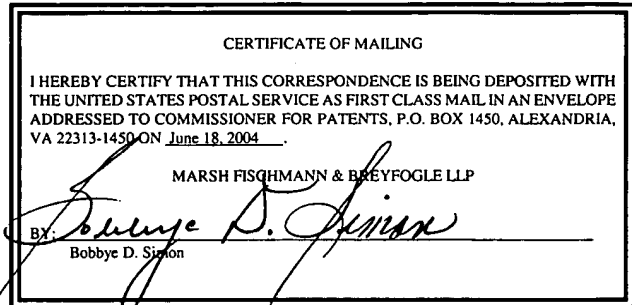
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

) Group Art Unit: 2681

) Examiner: Not Yet Assigned

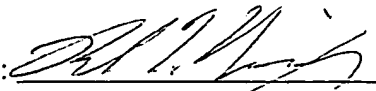
) INFORMATION DISCLOSURE STATEMENT



Pursuant to Applicant's duty of disclosure under 37 CFR § 1.56 and 37 CFR §§ 1.97-1.98, Applicant hereby provides a copy of each of the documents identified on the enclosed PTO Form 1449, although Applicant does not admit that any of such documents, alone or in any combination, is considered to be material to patentability as defined in 37 CFR § 1.56(b). Moreover, the inclusion of these documents is not to be construed as an admission by Applicant that each such document is prior art as to the above-identified application.

Respectfully submitted,

MARSH FISCHMANN & BREYFOGLE LLP

By:   
Russell T. Manning, Esq.  
Registration No. 51,260  
3151 South Vaughn Way, Suite 411  
Aurora, Colorado 80014  
(720)562-5502

Date: June 18, 2004

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
42365-01015

In Re Application Of: **DIACAKIS et al**

Serial No.  
10/802,323

Filing Date  
March 16, 2004

Examiner  
Not Yet Assigned

Group Art Unit  
2681

Title:

**"LAWFUL INTERCEPT SERVICE"**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

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2681

"LAWFUL INTERCEPT SERVICE"

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account **50-1419** as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

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Signature of Person Mailing Correspondence

Bobbie D. Simon

Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by deposit account.**

Signature

Dated: **June 18, 2004**

**Russell T. Manning, Esq.**  
Registration No. 51,260  
**MARSH FISCHMANN & BREYFOGLE LLP**  
3151 South Vaughn Way, Suite 411  
Aurora, Colorado 80014  
(720)562-5502

CC:

<div style="text-align: center;"> <b>INFORMATION DISCLOSURE CITATION</b>  <i>(Use several sheets if necessary)</i> </div> <div style="position: absolute; left: 0; top: 50px; transform: rotate(-90deg); font-size: small;">             RECEIVED              JUN 21 2004              1316              PATENT &amp; TRADEMARK OFFICE           </div>	ATTY DOCKET NO. <b>42365-01015</b>	SERIAL NO. <b>10/802,323</b>
	APPLICANT(S) <b>DIACAKIS et al</b>	
	FILING DATE <b>March 16, 2004</b>	GROUP <b>2681</b>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
a.	6,256,504	07/03/2001	Tell et al	455	456	
b.	6,212,392	04/03/2001	Fitch et al	455	456	
c.	5,884,221	03/16/1999	Wortham	701	300	
d.	5,787,354	07/28/1998	Gray et al	455	456	
e.	5,774,802	06/30/1998	Tell et al	455	408	
f.	5,767,788	06/16/1998	Ness	340	825.49	
g.	5,721,678	02/24/1998	Widl	364	424.04	
h.	5,577,100	11/19/1996	McGregor et al	379	58	
i.	5,218,367	06/08/1993	Sheffer et al	342	457	
j.	5,208,756	05/04/1993	Song	364	449	
k.	6,282,427	08/28/2001	Larsson et al	455	456	

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*


<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

# **INFORMATION DISCLOSURE CITATION**

*(Use several sheets if necessary)*

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**2681**

## **U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	l.	6,577,865	06/10/2003	Dikmen et al	455	433	
	m.	6,134,447	10/17/2000	Havinis et al	455	456	
	n.	6,463,288	10/08/2002	Havinis et al	455	456	

## **FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	o.	WO 01/35683 A1	05/17/2001	PCT				
	p.	WO 01/26393 A1	04/12/2001	PCT				
	q.	WO 01/33825 A1	05/10/2001	PCT				
	r.	WO 00/27143	05/11/2000	PCT				
	s.	WO 00/04730	01/27/2000	PCT				

## **OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*


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